

Audit Committee Meeting Chief Internal Auditor Report

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Chief Internal Audit

Interim Chief Compliance & Privacy Officer

October 19, 2022

INTERNAL AUDIT REPORT AGENDA

- Staffing Complement Update
- Internal Audit Update
- Partners in C.A.R.E. (**Compliance & Audit Resource Engagement**)
- Audit Accomplishments
- Internal Audit Annual Work Plan

Staffing Complement Update

INTERNAL AUDIT – STAFFING COMPLEMENT

Internal Audit Positions:

- Supervisor, IT Audit
- Clinical Auditor
- Internal Auditor – interviews in progress Senior
IT Auditor
- AVP, Internal Audit (pending attrition to director)

Internal Audit Update

FY 22 INTERNAL AUDIT WORKPLAN

Open Audits (6)

- Patch & Vulnerability Review (outsourced audit)
- Broward Health Foundation Donations Audit (In-progress)
- Ryan White Grant Review (Reporting)
- Escheatment Audit (Reporting)
- Laboratory Operations Audit (Reporting)
- Facilities Safety and Security Audit (Reporting)

Audits Completed (10)

- Annual Inventory (FY21)
- Vendor Compliance OneBlood Audit
- Balanced Scorecard Annual Review (FY21)
- Imaging Equipment Maintenance Audit
- Healthcare for the Homeless (Subrecipient Monitoring)
- Cerner Contract Review
- Uniform Data System Table Review
- Expense Reimbursement Audit
- Annual Inventory Count & Valuation (FY22)
- Telehealth Audit

***Partners in C.A.R.E.
(Compliance & Audit Resource Engagement)***

PARTNERS IN C.A.R.E

To partner with the organization on all efforts and providing tools that stakeholders can use, we created Partners in C.A.R.E. (**Compliance & Audit Resource Engagement**).

Some of the recent Partners in C.A.R.E initiatives include:

- RPA Scripts for Active Directory
- Policy Management Reconciliation
- Verizon Billing Cost Savings Opportunities

Audit Accomplishments

AUDIT ACCOMPLISHMENTS

- Audit Methodology Workshop – The Internal Audit Department held a workshop on August 17, 2022, to discuss our audit processes and methodology. As a result, the department’s audit methodology was updated.
- New Audit Report Format – The Internal Audit Department implemented a new report format that provides a high-level overview of items we have identified during our audit as priority-risk and separates our audit results by business processes.
- Professional Development – Audit staff continue to take advantage of professional development opportunities. Recently, one staff member attended the Association of Healthcare Internal Auditors (AHIA) 41st Annual Conference in Miami, FL. We also had staff who attended training on the Essentials of Community Cybersecurity and Preparedness for Cyber Incidents.

Internal Audit Annual Work Plan

PROPOSED WORK PLAN

Broward Health Proposed Audit & Monitoring Plan (Detail Plan 2 of 5)						
Broward Health - Internal Audit & Monitoring Plan FY 2023						
Resource Allocation and System Budget						
Existing Staff Estimated Hours						
Audit & Monitoring Activity	Risk Rating	Chief Internal Audit	Open Position	Co-Source	Staff Audit Hours	Total Audit Hours
Continuous Audit Monitoring RPA's		120	0	0	1620	1740
Audit and Monitoring Program FY 23		340	0	0	5,885	6,225
Management Requests		165	0	0	1,730	1,895
Follow-Up Reviews		40	0	0	1,040	1,080
Management Reporting (Board/Sr. Management)		275	0	0	3,065	3,340
Risk Assessment Update		100	0	0	1,060	1,160
Total		1,040	0	0	14,400	15,440
Interim CIA hours included in total audit hours - time for CIA split between IA/ Compliance/Privacy						